Understanding the Process with Tribal Sponsored Projects and Research

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4C ALVSCE Pre-award Team
Session Objectives

- Introduce Key Individuals, Regarding The University of Arizona and Tribal Activities

- Outline Policy and Procedures the think about before engaging with Tribal Nations of the Universities Behalf

- Steps in developing any of the following with Tribes, Tribal Colleges, Tribal Communities, Tribal Businesses
  - Agreements/grants/MOU’s/MOA’s/IGA’s
Overview of Support Infrastructure

**University of Arizona**
- Office of Tribal relations
- Native Peoples Technical Assistance Office
- UA Sponsored Projects & Contracting Services

**ALVSCE Division**
- Tribal Extension Office
- ALVSCE Research Office
Arizona Indian Country

22 Tribes and 21 reservations
Co-located with all but 3 counties

- Tribal Lands account for >30% of land base in Arizona
- Currently U of A Cooperative Extension has 7 Extension offices on 5 of the Nations
- 8.85 FRTEP FTE’s working on 17.5 million acres and serving 362,587 tribal community members.
- Approximately 49 Cooperative Extension FTE’s working in Arizona Indian Country
WHAT WILL NATIVE NATIONS REQUIRE?

- KNOWLEDGE OF SOVEREIGNTY, CULTURE, HISTORY, LOCAL PROTOCOLS
- AWARENESS OF DIVERSITY
- NATIVE NATION IRB OR OTHER AUTHORITY APPROVAL
- LOCAL PRESENCE – COMMUNITY PARTNERS
- TRIBAL COUNCIL AND/OR OTHER AUTHORITY PRESENTATIONS AND DISCUSSIONS
- DATA CONTROL AND OWNERSHIP
- PUBLICATION RIGHTS
- COMPLETE CONFIDENTIALITY
- JURISDICTION OVER DISPUTE RESOLUTION AND OTHER CONTRACTUAL PROVISIONS
- CULTURAL AWARENESS TRAINING
- FEES OR OTHER INVESTMENTS INCLUDING FACILITY RENTALS, CONSULTANTS, MEETING EXPENSES, PROJECT EQUIPMENT AND OTHER RESOURCES
- LOCAL TRAINING AND HIRING REQUIREMENTS
- CONTROL OVER CONTINUATION OF PROJECT OR CONTRACT
Help at The U of A

- HSPP – LEADERSHIP & KNOWLEDGEABLE STAFF
- WELL-INFORMED IRB COMMITTEE CHAIRS AND MEMBERS

- ASSISTANT VP FOR TRIBAL RELATIONS
- CONSULTATION AND GUIDANCE AT THE TOP

- SPONSORED PROJECTS
  DATA: BREADTH AND DEPTH OF ENGAGEMENT WITH NATIVE NATIONS

- EXPERIENCED AND KNOWLEDGEABLE FACULTY, STUDENTS & STAFF
- CAMPUS RESOURCES
Tribal Relations is responsible for strengthening partnerships and advancing mutual goals between the University of Arizona and Native Nations. In addition to fostering relationships with tribal nations, the unit's key priorities are to:

- Develop opportunities in support of Native American student recruitment, retention, and graduation.
- Strengthen the visibility and presence of the UA among Native Nations through outreach and collaboration.
- Foster positive relations with tribal nations in key areas, such as economic development, academics, cultural protections, and preservation efforts to achieve shared goals.
- Raise awareness and develop and understanding of tribal governance, enterprises, culture, and customs among University administrators, faculty, and staff.
The Native Peoples Technical Assistance Office serves as the liaison for Native Affairs to the Office for Research, Discovery & Innovation (RDI). Through engagement and collaboration with Native Nations throughout Arizona, NPTAO provides research support and capacity building, training and education in Indigenous law and governance for Native peoples, and technical assistance for tribal community development.

Designed for the campus community, NPTAO offers an array of web-based research/engagement resources. NPTAO has worked with many Native nations across the state of Arizona to obtain copies of the most up-to-date policies and protocols that control research and engagement processes and outline procedures for conducting research on sovereign Native land.
ARIZONA’S NATIVE NATIONS – GOVERNANCE & RESEARCH POLICIES

AZ TRIBES: GOVERNANCE & RESEARCH POLICIES

NPTAQ has worked with many Native Nations across the state of Arizona to obtain copies of the most up-to-date policies and protocols that control research processes and outline procedures for conducting research. Where possible, they are provided here. In cases where no written policies exist, decision-making powers vested with Native Nations to allow research activities within reservation boundaries may be found in a Tribe's constitution, also provided below when possible. To view a Tribe’s community profile, current leadership roster, current census-based snapshot, and any available relevant guidelines/policies, click on the Tribe name below or in our interactiver map.
The mission of Sponsored Projects and Contracting Services is to enhance our university’s ability to obtain and manage external support for research, scholarship, and education by providing superior grant and contract management services.
Contracting Services

Contracting Services efficiently executes contracts to get UA researchers the outside resources they need to be successful

- Structure agreements to create the optimum agreement for the desired relationship
- Negotiate contract terms with sponsor that give researchers the rights needed to conduct projects, while minimizing risks and burdensome obligations
- Engage with offices across campus to manage terms in special circumstances
- Sign contracts and agreements as the authorized institutional signatory
The Contracting Process

FIND FUNDING
PREPARE PROPOSAL
SUBMIT PROPOSAL
ACCEPT AWARD
MANAGE AWARD
CLOSEOUT AWARD

Intake Negotiation Signature Executed
What do we need to give you the best and quickest service?

1. Contract Submission Cover Sheet
2. Export Control Checklist (if an export-control related area)
3. Contract in Word format (unless Contracting is asked to provide our template to sponsor)
4. Scope of Work
5. Budget (estimate is fine)
6. Appropriate lead time
How Long Does it Take?

Median time to execution: 26 days

57% of agreements are executed within 1 month of receipt

81% of agreements are executed within 2 months of receipt

Contracts received 5/1/2018 to 4/30/2019 and not withdrawn
The College of Agriculture and Life Sciences is a powerful research enterprise—advancing scientific knowledge and driving economic growth in the fields of environment, energy and natural resources, plant, insect and microbe systems, health and food safety, families and communities, animal systems and commerce. CALS researchers collaborate with colleagues around the globe in seeking solutions to the economic, social and environmental problems of our time.
## College/Division Level

### ALVSCE County Pre-award Contacts

<table>
<thead>
<tr>
<th>Counties</th>
<th>Contact</th>
<th>Email</th>
<th>Phone</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cochise, Greenlee, Pima, and Santa Cruz Counties</td>
<td>Lynn Frazier, CRA</td>
<td><a href="mailto:lef1@email.arizona.edu">lef1@email.arizona.edu</a></td>
<td>520-621-0026</td>
</tr>
<tr>
<td>La Paz, Maricopa, and Yuma Counties</td>
<td>Rachel Crookston, CRA</td>
<td><a href="mailto:crooksto@email.arizona.edu">crooksto@email.arizona.edu</a></td>
<td>520-621-5980</td>
</tr>
<tr>
<td>Apache, Coconino, Gila, Graham, Mohave, Navajo, Pinal, and Yavapai Counties</td>
<td>Fatemah Dili, CRA</td>
<td><a href="mailto:fdili@email.arizona.edu">fdili@email.arizona.edu</a></td>
<td>520-621-1941</td>
</tr>
</tbody>
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College/Division Level

ALVSCE GRANTS AND ROUTING TIMELINE GUIDE

See handout provided.

If working with a tribe directly or a subaward to an organization/individual who works with a tribe, contact the tribe early (6 months to 1 year) to give them time to have input on the project. USDA National Institute of Food and Agriculture (NIFA) Agriculture and Food Research Initiative (AFRI), in particular, requires the following documentation:

- Facilities & Other Resources
- Minority Information
- Data Management Plan
Vision: Tribal Extension Program is the conduit between 1862 land grant institutions and Tribes through collaboration, leadership, and development of programs and mutual trust between all involved entities. FRTEP continues to provide high quality, culturally relevant, science-based programming in 4-H/youth development, agricultural and natural resource management, horticulture, food preservation, and entrepreneurial business development.

Mission: Identifying and meeting the dynamic needs of tribal communities by developing and implementing culturally appropriate, science-based, programs that improve the lives of individuals, families, and communities throughout Native American land.
Questions?