CONTRACT & RESEARCH SUPPORT PROGRAM

(CRSP)

Christopher J. Barnhill
Contract Officer, Contract & Research Support Program

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Wait? CRS what?

What do you think of when I say “contract”?  

What comes to mind?
contract
Objectives

• “CRSP” stands for

• What CRSP does

• What hidden dangers might lie in your award
CRSP is the unit that reviews and signs incoming research contracts and grants on behalf of the University of Arizona.

CRSP ensures the University does not commit itself or its employees to terms and conditions which are outside of State and/or Federal law and the policies of the Arizona Board of Regents.
Contract & Research Support Program (CRSP)
- Handles all contracts that involve money coming TO the University.

Procurement and Contracting Services (PACS)
- Handles all contracts that deal with money going OUT of the University.

Sponsored Projects Services (SPS)
- Handles outgoing subcontracts
Contract & Research Support Program (CRSP)

IN VISUAL TERMS

$ \rightarrow \text{in} \rightarrow \text{CRSP} \rightarrow \text{Arizona} \rightarrow \text{subcontracts} \rightarrow \text{SPS} \rightarrow \text{out} \rightarrow \text{PACS} \rightarrow \text{out}$
Types of Agreements CRSP Negotiates

- Grants
- Contracts
- Clinical Trials
- Material Transfer Agreements / Data Use Agreements (MTA’s/DUA’s)
- Confidentiality / Non-Disclosure Agreements (CDA’s/NDA’s)
- Testing, Business & Professional Services (fka Sales & Service)
- Memoranda of Understanding (MOU’s/MOA’s)
- Interagency Agreements (IGA’s / ISA’s)
- Teaming Agreements
Types of Agreements

• Incoming Award Matrix:

http://rgw.arizona.edu/
## Types of Agreements

### Incoming Award Matrix:

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| Sponsored Agreements | Funds received from Federal, state or other governmental agencies or private organizations that are provided on a contractual or grant basis with the restriction that the funds be used for a specific purpose. A project may be considered a "sponsored project" if:  
• project requires separate accounting procedures, progress, technical, or final reports or other deliverables  
• unexpended funds may need to be returned to the sponsor at the end of the project  
• project involves the disposition of property, whether tangible or intangible, that may result from the project (equipment, inventions, copyrights, rights to data, etc.)  
• project has budgeted indirect costs  
• project involves protocol or compliance review and oversight  
• project contains intellectual property terms  
• project may result in publication | Grant | An award of financial assistance for research, instruction, or other sponsored activity. Sponsors award grants on a competitive basis to recipients responding to a sponsor’s program announcement. A grant award instrument is used when the principal objective is to accomplish a public purpose. The scope of work and expected outcomes are less defined than a contract. | NIH Research Grants  
Sub-Federal Grant from UCSCF  
Registry Study  
Program Income  
Public Service Grant from A2OH  
US ED Instruction Grant  
American Heart Association or other related organization grants | Federally Negotiated Facilities & Administrative Rate applies unless published Federal or Non-Profit stipulation or VPR waiver obtained. | OMB Circular A-21  
Research Gateway | Contract & Research Support Program | Spc  
Ser |
| Cooperative Agreement | A cooperative agreement is similar to a grant in that the principal purpose of the transaction is to accomplish a public purpose. A cooperative agreement includes substantial involvement and collaboration between the sponsor and the University when carrying out the activity contemplated in the agreement. | NIH Cooperative Agreement  
USDA Cooperative Agreement | Federally Negotiated Facilities & Administrative Rate applies unless published Federal or Non-Profit stipulation or VPR waiver obtained. | OMB Circular A-21  
Research Gateway | Contract & Research Support Program | Spc  
Ser |
| Contract | An award for a prescribed or defined project with a designated period for a specific amount with defined deliverables in a cost-reimbursement contract, the sponsor agrees to pay for all allowable costs incurred by the University in the process of doing the work or research up to an agreed upon maximum. | NASA Contract  
Sub-Federal Contract from UCLA  
Small Business Technology Transfer Program (STTR) agreement between UA and industry funded with federal funds  
Small Business Innovation Research Program (SBIR) agreement between UA and industry funded with federal funds | Federally Negotiated Facilities & Administrative Rate applies unless published Federal or Non-Profit stipulation or VPR waiver obtained.  
Technology Transfer component may not be funded | OMB Circular A-21  
Research Gateway | Contract & Research Support Program | Spc  
Ser |
What are some of the hidden dangers in awards?
The Inherent Conflict

• **Sponsors want:**
  - To protect proprietary rights and information
  - To maximize their economic gain/profit
  - Retain rights in data or results
  - Have access to intellectual property

• **Universities want:**
  - To freely disseminate scientific knowledge
  - To openly collaborate with other scientists by retaining rights to data or results
  - Maintain rights to intellectual property
What are some of the issues you might encounter in a Sponsored Research agreement?
Contract Discussion Points

Intellectual Property

Intellectual property developed by the University, regardless of the source of funding, is generally the property of the University. Options to license are available in the agreement.

Data Ownership

UA should retain ownership of all original/raw data. The sponsor may own/reuse the deliverable or report.
**Publication**

UA must be able to freely disseminate/publish results without restrictions. UA will acknowledge sponsor’s financial or material contribution.

**Indemnification**

UA is prevented by state law from indemnifying the sponsor or other third parties in most cases.

**Governing Law**

As a state instrumentality, the University cannot agree to be governed by the laws of another state or country.
Contract Discussion Points

Confidentiality

Arizona has a very broad Public Records Act which requires almost everything we do be open to public inspection.

Mandatory Clauses

* Equal Opportunity and non-discrimination
* Arbitration
* Conflict of Interest
* State Appropriation of Funds
The goal...

Achieve a **balance** of...

**timely negotiation** of agreements

...while...

**minimizing the risk** of liability to the University, its employees, and students.
How can I get my agreement signed faster?

• ~80-90% of awards are negotiated & signed in 30 – 60 days

• Include CRSP early in agreements that are likely to be complex, relate to a large project or different type of relationship

• Various aspects of the agreement may require multiple parties at the University to review and comment

  Office of General Counsel  Export Control  Risk Management  Conflict of Interest
  Tech Transfer  Vice President of Research  HIPAA  HSPP

• Status of pending agreements can be viewed on the Negotiation Log dashboard in UAccess Analytics
How can I get my agreement signed faster?

• For Sponsored Research, have an approved proposal in UAccess Research, or one en route

• Email an editable version of the agreement to sponsor@email.arizona.edu & crs-ord@email.arizona.edu. Include in the email: UAccess Proposal Doc #, email contact information of the Sponsor, deadlines for execution, unacceptable terms or errors, & other special considerations (CALS submission cover sheet)

• Be responsive to requests from CRSP, especially PI Acknowledgment emails
Why can’t I sign my own award?

Only University Authorized Signatories, approved by the President’s Office and ABOR, are authorized to legally bind the University.

- The signatories (currently) for CRSP are: Steve Harsy, Mark A. Drury, Melissa Kramer, and Juliet Burnett

- Agreements not signed by a University Authorized Signatory must be between an individual and the contracting party and cannot name the University of Arizona as a party to the agreement or utilize resources of the University.
CRSP Contact Information

We’re here to help!

• CRSP’s Main Office: 626-3050

• General email: crs-ord@email.arizona.edu

• Research Gateway: rgw.arizona.edu
Review

• “CRSP” stands for...

• What does CRSP do?

• What are some of the hidden dangers in an award?
PROCUREMENT & CONTRACTING SERVICES

Ted Nasser, C.P.M., Director
Celeste Kanzig, Buyer
Who We Are

- Arizona Buyways/Shop Catalogs
- Central/AHSC Receiving
- Contracting Office
- Postal Services
- Printing Services
- Purchasing Card (Pcard)
- Purchasing Office
- Records Management & Archives
- Small Business Utilization Program
- Stores Inventory – Alcohol only
- Surplus Property
What We Do

PACS Mission is to create and maintain a customer oriented effort in product/services procurement and contracting services, that supports the University of Arizona Mission, and to provide for the responsive, efficient and timely acquisition of quality products and services at a prudent cost.
PACS 100

- Informal Bid Limit – Over $5,000.00
  - Purchases between $5k and $100k need 3 quotes or a sole source justification. Must be to a small business.
- Formal Bid Limit – Over $100,000.00
  - Must be handled by PACS
- Contracting general rules:
  - Contract with $ going out is handled by PACS
  - Contract with $ coming in is handled by CRS
  - Buyers handle contracts associated with their PO’s/Bids/RFP’s
  - Signature Authority
- P-Card/AZ Buyways
  - Preferred procurement methods
Contact Information

The PACS Staff Directory is Located at:
http://pacs.arizona.edu/contact

PACS Main Customer Service – 520-621-1747
Pcard Program – 520-626-9091
Printing Services – 520-621-9514
AZ Buyways/Shop Catalogs – 520-626-8979
Central/AHSC Receiving – 520-621-3877
Surplus Property – 520-621-1754
Postal Services – 520-621-7939
Records Management & Archives – 520-621-5666
Small Business utilization Program – 520-621-2888
Involve us Early!

- Lots of rules, regulations and policies
- Let us be your experts
- Find a way to accomplish what you want within the policy
- Help you explain when the answer has to be “no”